

1
2 P R O C E E D I N G S

3 THE COURT: Good afternoon, ladies and gentlemen. We
4 are about to begin the Horowitz case.

5 Before we do so, I'd indicated to you, and you do have
6 note pads and the appropriate utensil to write on, those
7 note pads, you may use them as you see fit. You don't have
8 to take notes unless you want to. But if you do decide to
9 take notes, be sure that your note-taking doesn't interfere
10 with your ability to observe the witness or listen to the
11 lawyers and be aware of what's going on.

12 And, as I told you before, your notes are yours, and
13 you're not to share them with anybody. They are
14 confidential, and you can either take them with you each
15 time you leave or you can leave them on your chair, and
16 nobody's going to come and read them.

17 Also, you may note any questions on your paper that
18 you might have for a particular witness. When the lawyers
19 are through asking questions of a witness, if any of you
20 have any questions, you can write the question out, give it
21 to me, and I may ask it. I say "may," because it depends if
22 it's relevant and if it's admissible, leads to admissible
23 evidence.

24 If I think that it's improper, I'm not going to ask
25 the question at all. Don'ts be offended, in case your
26 question is not asked. The attorneys can also object to the
27 question, if they want to. They can do that during the
28 course of the trial. That's part of their job, to see that

1 just evidence that is relevant to this case and bears on the
2 issues here is admitted.

3 And so if they think that a particular question is not
4 appropriate or not properly worded, they will object to it.
5 But don't be offended. It's nothing personal at all. It's
6 objected to or not given for some legal reason. Okay?

7 As I told you before, you're not to talk to the
8 attorneys in any way, shape or form. Don't even ask them
9 the time of day or tell them it's a beautiful day and good
10 morning, or whatever. Just ignore them, except here in
11 court, you pay attention to them.

12 The way we try a case, in very general terms, is that
13 the plaintiff makes an opening statement. And the reason
14 that the plaintiff goes first -- and I think it was told to
15 you before -- is because the plaintiff has the burden of
16 proof. They have to prove what they say has been done to
17 them. They have to prove their case from A to Z, all of it,
18 unless the defense, for some reason, concedes a point. I
19 don't know whether that will occur or not. It may.

20 But anyway, they have to prove their case, so they can
21 go first. The attorney makes an opening statement. That
22 opening statement primarily is to tell you what they are
23 going to prove. Then you can make note of it. But remember
24 what the attorney says. At any time, true to every attorney
25 here, what they say is not evidence unless they take the
26 oath and sit on the witness stand and testify as a witness.

27 So you listen to what they say and you see if it makes
28 sense. And particularly with respect to the opening

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1 statements, you note what Ms. Chaber says and what she's
2 going to prove, and then make a mental note of it, or write
3 it down. And if she doesn't do it, she's failed in that

4 regard. And I assume that whatever she says to you, she
5 thinks and intends to and hopes to prove to you.

6 The same will be true with the defense. They can make
7 an opening statement when she's through, or they can wait
8 until she's all through with her case, and then they can
9 make their opening statement. That's up to them to decide.

10 Well, anyway, after the opening statements are made,
11 the witnesses come forth, and the plaintiffs' witnesses
12 primarily will go first. Maybe we will call a witness out
13 of order, if it's necessary to accommodate a witness because
14 of some time reason or because the witness has some other
15 kind of an obligation. So we may take them out of order.

16 If that occurs, why, we will let you know.

17 But whoever calls a witness asks the witness the
18 questions to begin with, and then the other side may
19 cross-examine the witness, and there may be redirect
20 examination, so it goes back and forth a bit.

21 And when they are all through, as I said, then it's
22 your opportunity, but you have to write your question out,
23 because you're not lawyers, and we don't expect you to word
24 the question right, but you may say, "There's something
25 missing here," or "I didn't hear it," or something, so you
26 want to write it out. That's fine. And that's why we do
27 that, so you know as much as there is going to be available
28 from that particular witness.

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1 There's also going to be what we call demonstrative
2 evidence, and some of that will even start with the opening
3 statement. There will be some slides, some photographs,
4 some written documents, and perhaps other things, models. I
5 don't know the full extent of it. But that's all
6 demonstrative evidence. And, of course, if it's evidence,
7 then you consider it. But whatever, as I said before,
8 whatever the attorney says in this matter by any attorney is
9 not evidence.

10 When all of the witnesses are through testifying and
11 all of the demonstrative evidence is submitted to you, you
12 know, you may look at a document, it will be passed around,
13 or you may get a glancing view of it, so to speak. You can
14 take it with you when you deliberate in the jury room,
15 examine it up and down, back and forth, round and round, any
16 way you want to. But when all of that evidence is in, the
17 attorneys are going to argue.

18 Again, they are going to be summing up their case, and
19 we call it argument. Plaintiff goes first, then the defense
20 comes and the plaintiff gets to close because again,
21 plaintiff has the burden of proof. So they go twice in
22 closing.

23 When that is done, generally I will instruct you with
24 respect to all the law that applies to this case, if I
25 haven't previously instructed you about the law. You take
26 those written instructions with you. You can look at them
27 at your leisure, when you're all together. The same is true
28 with respect to the evidence.

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1 Then, for the first time, you can discuss the case,
2 the witnesses, the evidence, what you think of it, what
3 probative value it has, what it means, put it all together
4 and come up with a verdict. And you know, that's why you're
5 here, is to try to arrive at a verdict if you can do so
6 based on the facts, based on the law, and in good
7 conscience. That's why we want you to decide this case.
8 It's a heavy responsibility. But remember, keep your common

9 sense about you.

10 And during the course of this trial, day after day
11 after day, as I told you before, will generally start at
12 9:00 o'clock, go to 12:00, and in between we will have a
13 recess, about fifteen minutes. Again, you can't talk to
14 anybody about the case during that recess.

15 If, for some reason, you need a recess before I call
16 it -- I may not call it just exactly in the middle
17 somewhere, I'll call it when they are through with a witness
18 and an appropriate time, so there's an appropriate break,
19 but, if for some reason, you need to go out, you need a
20 drink of water, you need to go to the bathroom, or whatever
21 it is, just raise your hand. And if nobody pays attention,
22 say: Just a minute, and you can tell us what you want. And
23 then we will have a recess for you.

24 And if you get sleepy, don't. Because we all do at
25 times, you know, after lunch, and it gets quiet in here and
26 warm, and somebody next to you starts breathing heavily,
27 give them a little jog. And if you do it yourself, pinch
28 yourself, because we want you to all pay attention. It will

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1 happen to all of us. I once had an attorney who went to
2 sleep during the course of the trial, and it was very
3 embarrassing. When I noticed it, why, we declared a recess.
4 But it can happen to anybody. So don't be offended. If you
5 want to go out and wash your face with water, let us know.

6 Ms. Chaber?

7 MS. CHABER: Could I have a moment?

8 THE COURT: Sure.

9 MS. CHABER: I apologize to the Court, but I don't
10 know where else to put this, and it may block your view a
11 little bit, Your Honor.

12 THE COURT: That's all right.

13 I should note that all of the jurors are here and all
14 of the attorneys. I don't know whether any party is here
15 for the defense or not.

16 MR. OHLEMEYER: I'll introduce them, Your Honor.

17 MS. CHABER: May it please the Court, Counsel, ladies
18 and gentlemen of the jury.

19 When you heard the name Lorillard Tobacco Company and
20 you heard that this was a case that involved cancer, you may
21 have been thinking we were talking about something like
22 this.

23 My client, Milton Horowitz, quit smoking 32 years ago.
24 This is not a case about this end of the cigarette. It's a
25 case about this end of the cigarette. It's a case about the
26 filter, or the predecessor to this Kent filter. Kent with
27 the Micronite filter which, from its inception in 1952 to
28 1957, contained asbestos.

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1 Asbestos is what causes mesothelioma. Tobacco does
2 not cause mesothelioma. Tobacco smoking does not cause
3 mesothelioma. Asbestos does. And from 1952 to 1957, there
4 was asbestos in the Kent Micronite filter.

5 These two defendants, Lorillard and Hollingsworth and
6 Vose, are the only companies who have ever put asbestos in a
7 product that was made and intended to be inhaled directly
8 into your lungs.

9 They not only put asbestos in the filter, they put
10 what's called Crocidolite asbestos. It looks a little like
11 crocodile, but it's Crocidolite, and it's a type of
12 asbestos. It's called blue asbestos, and the reason it's
13 called blue asbestos is because it's actually blue colored.

14 It comes from South Africa, and it is considered the most
15 potent, the most poisonous, and the most toxic of all forms
16 of asbestos.

17 This is a case about the big lie that these companies
18 told the public, told Milton Horowitz in their ads, in their
19 TV advertising. You have to go back to the '50s, TV
20 advertising, \$64,000 question, newspapers, magazines and,
21 yes, even medical journals. And they said such things as:
22 Only Kent has the Micronite filter made of pure, dust-free,
23 and completely harmless material. This is from the journal
24 of the American Medical Association.

25 "Which cigarette gives you the greatest health
26 protection?" Advertising Magazine recognized this campaign
27 as the best hard-sell advertising campaign in the 1950s.
28 They created a demand for what was perceived as health

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1 protection, and then they went about filling that demand.
2 But the public didn't know that there was asbestos; that the
3 Micronite filter meant asbestos.

4 And the public in the 1950s, even if they knew that
5 fact, didn't know that asbestos was hazardous. But these
6 defendants did. In 1944, the Journal of the American
7 Medical Association came out with an editorial about
8 environmental cancers, and asbestos was listed as one of the
9 suspected carcinogens.

10 In 1949, the Journal of the American Medical
11 Association, the same place that they advertised in in the
12 1950s, in their editorial recognized that asbestos was
13 associated with asbestosis and lung cancer.

14 The Journal of the American Medical Association's
15 editorial sounded an alarm, an alarm which was ignored by
16 these companies. They manufactured Kent with an asbestos
17 filter, something which had no medical usefulness, something
18 which was not a military product, did not have any military
19 usefulness, and was not for the public good. They acted in
20 conscious disregard of the health and safety of the public,
21 and they did it for one reason and one reason only, and that
22 was to make money.

23 By the early 1950s, medical science was already seeing
24 tumors of the pleura, which is the lining of the lungs,
25 which is the disease that Milton Horowitz has. Mesothelioma
26 is a tumor of the lining of the lungs. And they were seeing
27 it in conjunction with people who were exposed to asbestos.

28 What the doctors did not know back then was that it

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1 was actually a separate disease, something distinct from
2 lung cancer, something different than lung cancer. That
3 took until the 1960s until that was known and accepted that
4 mesothelioma was actually a separate disease from lung
5 cancer.

6 In 1953, Lorillard hired the editor of the Journal of
7 the American Medical Association, Dr. Morris Fishbein. He'd
8 been the editor back in 1949 when that editorial was
9 published about asbestos and cancer. And they paid him more
10 than \$25,000 a year. And the first thing that they had
11 Dr. Fishbein do was write a book on smoking and health. And
12 Dr. Fishbein, in his chapter on cancer, said it was still an
13 open question as to whether smoking caused cancer, but that
14 it was recognized that asbestos was a cause of lung cancer.

15 Now, Dr. Parmelli, who's not a medical doctor, but a
16 Ph.D., was the director of research for Lorillard. It was
17 then called the P. Lorillard Company. It's the same company
18 now. And he had changes and edited Dr. Fishbein's book.

19 And there's a subsequent letter that shows that he put in --
20 that Parmelli, the director of research at Lorillard, put in
21 a plug for the Micronite filter in this book that
22 Dr. Fishbein was publishing.

23 Now, to be objective, scientists, when they publish
24 things, are supposed to recognize who has contributed to
25 those publications, because people ought to know who's
26 paying for research that might affect them, and it's
27 something that people ought to know and be able to consider.

28 MR. BRAKE: Your Honor, I'll object to the

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1 argumentative nature of this line about what scientists
2 should or should not know. I don't think that's
3 appropriate.

4 THE COURT: Okay. Let's not speculate or argue,
5 please.

6 MS. CHABER: And Dr. Fishbein had indeed written: "I
7 am indebted to the P. Lorillard Company for assistance in
8 securing necessary clerical and bibliographic aid in
9 developing this book." And Dr. Parmelli crossed that out.

10 Well, after this book was published, Lorillard
11 requested reprints of it, sent it around the country. And
12 Lorillard thanked Dr. Fishbein for plugging the Micronite
13 filter, for essentially recommending it, in this book.

14 Dr. Fishbein was also involved in another project that
15 Lorillard had, which was called the Chicago Throat Doctors
16 study. And what this was, was they sent gift boxes of
17 cigarettes, of Kent with the asbestos Micronite filter, to
18 the doctors in the Chicago area, and they asked them to
19 conduct a very scientific experiment. They asked them to
20 determine whether or not their throat felt better when they
21 smoked Kent, as compared to other cigarettes.

22 And they wrote in their letter to the doctors that:
23 "We, at Lorillard, are trying to avoid the use of
24 questionable medical claims in advertising. That's why we
25 are coming to you." And in response, they got all sorts of
26 testimonials thanking them for the free cigarettes and
27 saying: Oh, yeah, my throat feels much better.

28 With the exception of one gentleman, a Dr. Jerome

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1 Silver who wrote them in May of 1954, that "The other
2 concern that I had is the effect of the asbestos, which is
3 used in the filter. There have been unofficial reports of
4 cases of asbestosis found in people smoking Kents and said
5 to be solely a result of such smoking." Then he goes on to
6 talk about how he liked the Kents.

7 And then at the end he says, "I feel that as an
8 individual, Kents served my purpose best, but I would like
9 to see a scientific investigation of the effects of the
10 asbestos contained in the filter."

11 Now, right about this same time, Dr. Knudson -- who
12 developed the filter media that Hollingsworth and Vose was
13 manufacturing -- Dr. Knudson worked for them. He wrote a
14 letter to Lorillard saying they were having a problem
15 anchoring the asbestos in the filter. And right about that
16 same time, Dr. Knudson, incidentally, quit smoking Kent
17 cigarettes. Milton Horowitz was not so lucky.

18 The patent describes a loosely -- the patent describes
19 a loosely, uncompacted mixture, crepe paper, asbestos
20 fibers, cotton and cellulose acetate. And it's not
21 surprising that the asbestos has come out looking like a
22 filter.

23 MR. OHLEMEYER: Your Honor, I really don't want to

24 interrupt, but this is not a statement of what the evidence
25 will be. This is Ms. Chaber's argument about what she
26 thinks the evidence, that may or may not be admitted in this
27 case, will be. It's not a proper opening statement.

28 THE COURT: Well, if she's going to prove it, and I

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1 guess she says that's what she's going to do, she can go
2 ahead and say it.

3 MR. OHLEMEYER: What she's doing is arguing what she
4 thinks it means, not what she's going to prove.

5 THE COURT: The jury can hear this is an opening
6 statement, what she intends to prove. If she doesn't prove
7 it, it's a failure on her part.

8 MR. OHLEMEYER: Thank you.

9 MS. CHABER: During this time period, Lorillard sold
10 more than 13 billion Kent cigarettes with asbestos in the
11 filter. That's some 13 million cigarettes every day, 30,000
12 of which were smoked by Milton Horowitz. He did not know
13 that he was inhaling asbestos. It's something that the
14 defendants didn't tell very many people.

15 When they got a letter requesting answers to a number
16 of questions, one of those questions was: What is the
17 filtering element composed of, and the answer was: The
18 filtering element of Kent cigarettes is composed of
19 Micronite, which is a rather complex mixture, the exact
20 nature of which we believe it is unethical for us to
21 disclose. And then they tell them that the filtering
22 element in Old Gold Filter Kings, which does not have
23 asbestos in it, is a special grade of absorbent tissue
24 paper. And the patent, although it states asbestos, also
25 states that: Other substances could be used in place of the
26 asbestos.

27 From 1952 to 1954, Hollingsworth and Vose was warned
28 about the hazards of asbestos. The Massachusetts Department
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1 of Labor, where the plant was located, sent inspectors out
2 to the factory who told the defendants, who already knew,
3 told Hollingsworth and Vose that asbestos was hazardous.

4 And you will hear, either, hopefully from the witness
5 stand or in the form of a deposition read to you, prior
6 testimony taken from a Elise Comproni, who inspected that
7 plant, who will tell you that he was appalled by what they
8 were doing with the asbestos, something that could be
9 inhaled into the lungs, but that he was powerless to do
10 anything about it. And that in the 1950s. He was unwilling
11 to champion a cause, go public with it, go to the press. He
12 didn't have any powers, under the Massachusetts Department
13 of Labor, to close them down. They weren't doing anything
14 illegal.

15 And there was a joint agreement between Hollingsworth
16 and Vose and Lorillard that they are in this together; that
17 this was a joint effort by both of them, just as it is in
18 this courtroom. As long as Lorillard wanted it,
19 Hollingsworth and Vose kept making and selling the asbestos
20 filter. And both these defendants supplied and sold it,
21 regardless of the dangers.

22 Now, to the public there were pseudoscientific tests
23 to show how effective the Kent Micronite filter was, but
24 what they had people do was breathe through a piece of
25 paper, the cigarette, and breathe through a piece of paper
26 and handkerchief, and they showed that the stain on the
27 piece of paper was less with the Micronite filter. That was
28 the science shown to the public.

1 But when they did testing elsewhere with real
2 scientists, they found out that asbestos came out of the
3 smoke. Now, before 1954 -- they started in 1952 -- before
4 1954, they had some tests conducted, but the efforts will
5 show that these tests are inadequate to demonstrate asbestos
6 to be able to detect asbestos.

7 Asbestos is microscopic. It's measured in a measure
8 called microns. These microns, if you look at the size of a
9 micron and you imagine down, it's about the size of a red
10 blood cell. So you can imagine how small the fibers are.

11 Well, you need special equipment to be able to detect
12 asbestos fibers. And this special equipment was not used by
13 any of the researchers they hired before 1954. But in 1954,
14 Lorillard went to one of the few electron microscopists,
15 people who specialized in that. There were a very limited
16 number of 50 in the 1950s who actually had this equipment,
17 and they went to Althea Revere and Wanda Farr, who women,
18 very early scientists in the 1950s who owned an electron
19 microscope, and they asked them to do some testing.

20 Now, we know this from some correspondence that refers
21 to reports by Revere and Farr. The reports are not in
22 Lorillard's files, but the correspondence indicates that
23 asbestos was coming out in the smoke.

24 So they then sent to Ernest Fulham, who was another
25 person who had an electron microscope -- and we are again in
26 1954, right in the middle of this time period -- and Ernest
27 Fulham confirmed that asbestos was indeed coming out in the
28 smoke, confirmed Althea Revere's earlier findings.

1 Again, all we have is some correspondence. There are
2 no reports. There are reports in Lorillard's files from
3 these other tests that were conducted. There are reports in
4 Lorillard's files of some subsequent tests, again, not using
5 an electron microscope or an adequate electron microscope,
6 but these are not there, but what we do have is something
7 called photomicrographs.

8 And you can actually take a photograph of what is seen
9 in the microscope. And they had this technology back in the
10 1950s. And Ernest Fulham's lab did this. And low and
11 behold, when somebody went to Fulham's lab, they found that
12 he still had the negatives. And from those negatives,
13 pictures were made. You can actually see photographic
14 pictures.

15 Ann you will hear from a Douglas Hallgren, who was
16 Fulham's assistant. And he'll testify that they were the
17 only two people that worked there -- he was working
18 part-time and he was Fulham's assistant -- and that their
19 job was to examine the smoke from Kent cigarettes, Kent that
20 had asbestos in it, and to see if there was asbestos in the
21 smoke. And indeed, they confirmed it.

22 Now, both Revere and Fulham, we know that Lorillard
23 respected them because there are subsequent reports in 1958,
24 after the asbestos was removed, from Revere, that she did
25 work for them after that, and from Fulham, that he did work
26 for Lorillard after that, and these pictures show asbestos
27 fibers.

28 Now, they are identified as Kent silicates. A

1 silicate is the broad range of category that minerals fall
2 under. That is fibrous minerals like asbestos fall under.
3 The composition of the filter was crepe paper, cotton,
4 cellulose acetate and Crocidolite asbestos.

5 Crepe paper doesn't look like that, cellulose acetate
6 doesn't look like that, cotton doesn't look like that. They
7 are all plant products. This is asbestos. And this is the
8 asbestos that was seen through the microscope, through the
9 electron microscope at Fulham's lab.

10 And Mr. Hallgren will testify that they also looked at
11 experimental cigarettes, ones where Lorillard was trying to
12 treat the filter to keep the asbestos in, and he'll testify
13 that none of the treatments worked. In the smoke there
14 would be asbestos fibers, whatever they did with it. And as
15 a comparison, they were sent -- a comparison to these
16 experimental ones, they were sent what was called regular
17 Kents. Regular Kents. That's what Milton Horowitz smoked.

18 Now, as I said, there were some, and the evidence will
19 show, there were some tests after Fulham and Revere that
20 they had done, but the techniques were all inadequate.
21 Hollingsworth and Vose did a test there, but they even state
22 in their correspondence that they didn't have the right
23 equipment or the right technique to use. And the Armor
24 Laboratories also was not done with adequate technique.

25 And apparently, although the public didn't know, other
26 cigarette companies apparently knew what was in the
27 Micronite filter, because they started an advertising
28 campaign to compete against it.

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1 L&M came out with an ad that said: Effective
2 filtration from a strictly nonmineral filter material, alpha
3 cellulose, exclusive to L&M filters, entirely pure and
4 harmless to health. And this was directly in response to
5 Lorillard's safe, harmless, and dust-free advertising.

6 Now, mid-1956, Lorillard abruptly canceled the
7 contract with H and V, but they never, the evidence will
8 show, recalled any of the Kent with the asbestos filter that
9 were already out in stores, that were already out in the
10 distribution. Evidence will show they never told the public
11 that they had changed from this asbestos filter to now a
12 total cellulose acetate, or nonmineral filter. They still
13 used the Micronite name and they still made health
14 protection claims.

15 Milton Horowitz was a loyal Kent cigarette smoker. He
16 switched in 1952 from nonfiltered cigarettes to Kents
17 because they were considered the safe cigarette. It's the
18 only filter cigarette he ever smoked, and he smoked it for
19 ten years, one pack a day, until he quit on New Year's day,
20 1963.

21 Now, the one thing about these fibers, the blue
22 asbestos -- actually, any asbestos, is that it divides down
23 and splits into finer and finer fibers, needle-like
24 structures in the lung. In fact, the lung tries to break
25 down the asbestos fibers in trying to get rid of the foreign
26 particle, and the asbestos, which is indestructible, merely
27 splits into smaller and smaller pieces. And the evidence
28 will show that asbestos inhaled with tobacco smoke, that the

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1 tars and the vaporous gases helped bring the asbestos down
2 into the deep recesses of the lungs where they never leave,
3 except for to migrate to the pleura, to the lining of the
4 lungs, to where mesothelioma forms.

5 Now, there's been some more recent testing that was
6 done. It was done initially -- a cigarette collector, a
7 doctor John Slade, a doctor on the East Coast -- apparently
8 there is some kind of club where people actually collect old
9 cigarettes, and Dr. Slade sent some of those and actually

10 brought them down, some of those old Kent cigarettes,
11 vintage Kent cigarettes in their cellophane wrapping,
12 completely intact, aluminum foil protecting the cigarette
13 with cellophane wrapping around it, and brought them down to
14 Dr. William Longo, who is an expert, present-day expert, in
15 the electron microscope, what's called a transmission
16 electron microscope, and there's also scanning electron
17 microscope.

18 And he has a laboratory called Materials analytical
19 Services. He's done work for the EPA. He trains and
20 teaches people how to use electron microscopes, and he's
21 been a consultant to business, to industry, to
22 manufacturers, and he's been a consultant to lawyers,
23 lawyers for manufacturers, and lawyers representing people
24 such as myself.

25 And he got ahold of these vintage, original Kents from
26 1952 and 1955. Now, you wonder, how do you know what year a
27 cigarette is from? Well, apparently there is a thing called
28 a tax stamp on the end of cigarettes, or at least there was.

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1 I don't know if that still exists. And you can date a
2 cigarette by the tax stamps. It has to be registered.

3 And the first thing he did was he tested these filters
4 to see: Is this really true? Do they really contain
5 Crocidolite asbestos? And the answer was: Yes.

6 He then sent off a couple of the cigarettes to a place
7 that had a smoking machine. Now, these are machines that
8 are actually designed to test tar and nicotine levels. And
9 the reason they have a machine is so that you get a
10 consistency in the tests so that you can compare tar and
11 nicotine from brand to brand, or different types of
12 cigarettes. So he sent off these cigarettes to a smoking
13 machine.

14 And the smoking machine used some kind of a filter
15 that apparently had glass fibers in the filter on the
16 smoking machine. They collect the materials from the smoke,
17 collects onto some filter from the machine, and then you can
18 analyze the filter.

19 Well, when he went to analyze the filter, there were
20 so many glass fibers there and glass particles from this
21 filter from the machine, that he would have spent a couple
22 of years trying to count and look for the asbestos. So
23 there went a couple of cigarettes out the window because the
24 filter was unusable, in terms of being able to look for
25 asbestos.

26 So recognizing that that was a problem, he devised his
27 own protocol, and that's something that he does if a
28 manufacturer goes to him and asks: Can you test my product,

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1 he devices a protocol, and that's what Fulham did, and
2 that's what Revere, presumably, did.

3 And he devised a protocol to obtain the smoke from
4 Kent cigarettes from this time period during the time that
5 it had Crocidolite in it. And there's no issue that he did
6 that part of the test at the request of a plaintiff's
7 lawyer, such as myself.

8 He found asbestos in the smoke of the cigarettes.
9 I'll show you some of it. What we have here is the side
10 view -- what he looked at in the asbestos filter was a side
11 view looking at the filter like this. And you have to
12 understand we are looking at this highly magnified.

13 And what you're seeing in here, the bright white --
14 because this is electron microscope, the asbestos shows as

15 bright white -- that is the asbestos on the end of the
16 unsmoked cigarette. And what you're looking at is a further
17 magnification in my right hand. Here's the edge of the
18 filter and the white is the asbestos. We have an either
19 further magnification. You can see the fibers, all the
20 white. The bigger fiber here, that's the cotton.

21 And after he smoked the cigarettes -- and by smoking
22 them, I don't mean that he actually, physically smoked them,
23 but he devised a protocol using a syringe, taking some air
24 that would simulate a person puffing on a lighted cigarette,
25 and then trapped what was in there, examined it under the
26 electron microscope, and what he found was similar to what
27 was found in Dr. Fulham's laboratory was asbestos,
28 Crocidolite asbestos. From the smoke. From the Kent

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1 Micronite filter in the 1950s.

2 And Dr. Longo has had his study published in a journal
3 called Cancer Research, the same pictures that I've showed
4 you, and it was published in June of 1995, two months ago.
5 And this is published in what's called a peer review
6 journal. And what that means is that peers of his, other
7 people with the same expertise, have evaluated and examined
8 the study he submitted to determine whether or not it was
9 worthy of publication. And other than some changes in
10 language, phrasing of English, it was published unchanged.

11 And subsequently, he's done some follow-ups, again at
12 the request of lawyers, using the smoking machine, and
13 indeed, he still found asbestos in the smoke. A little bit
14 lower quantities than had been found in the protocol that he
15 set up, but still at dangerous levels. And the evidence
16 will show that the defendants had the technical knowledge
17 and the wherewithal to create studies and tests, but had not
18 done so.

19 And Dr. Longo videotaped the whole procedure that he
20 did, so that no one would question what he did, how it was
21 done. It's all on a videotape. It's three-and-a-half hours
22 long, and it's boring as can be. And I'll probably show you
23 little portions of it, and I'm sure the defense will pick
24 out other portions to show you.

25 But Dr. Longo will tell you that he videotaped it and
26 videotaped the procedure so that nobody could question or
27 question what he did, so that it was there for all to
28 examine and all to see.

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1 And he also took photomicrographs -- those were some
2 of the pictures that I was showing you -- through the
3 electron microscope of the Crocidolite asbestos. And what
4 he found and calculated was much greater than any background
5 levels of asbestos.

6 You'll hear it said that there is asbestos in the air
7 everywhere and we are all breathing it. It's at small
8 levels and it is not in the United States, Crocidolite
9 asbestos. You will hear from a Dr. William Nicholson, who
10 has published and set the standards for the EPA, for NIOSH,
11 National Institute of Occupational Safety and Health, the
12 asbestos standards, for OSHA, Occupational Safety and Health
13 Administration, and he will tell you that he's done a survey
14 of the air in the United States, and Crocidolite asbestos is
15 not a background exposure that we have.

16 Crocidolite, there are no natural outcroppings of
17 Crocidolite. You'll hear that in California, our state rock
18 is called serpentine which, in its fibrous form, happens to
19 be asbestos, and that there were actually some asbestos

20 mines up in Calaveras County.

21 You will hear that any background asbestos that's in
22 the air merely adds to people's exposure, and that it's
23 mostly chrysotile, which is considered by many to be less
24 hazardous than Crocidolite asbestos. It's considered by
25 most people to be the most hazardous form of asbestos. And
26 when they've done studies of people who have Crocidolite
27 exposure, only Crocidolite exposure, they found the highest
28 rates of disease and the highest rates of mesothelioma.

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1 Asbestos is not only indestructible and once in the
2 lungs stays there, unless it moves to the lining of the
3 lungs, but there's a delayed response. The body has a
4 delayed response to asbestos. 35 or 45 years after exposure
5 is when the peak of disease is, when you see the most cases
6 of asbestos disease from people who have been exposed to
7 asbestos.

8 This is what's called latency. And it's like getting
9 the flu. When you sit next to somebody at work and they are
10 sick and you go: Oh, please, stay away from me. I don't
11 want to get sick, well, you don't get sick that minute.
12 What happens is it takes awhile and a week later you find
13 yourself sick, that's the latency.

14 With asbestos disease, the latency isn't a week, but
15 it's 35 to 45 years, and that's not a magic number. You can
16 get asbestos disease in less than 35 years, and it can take
17 longer than 45 years for it to come down, but this is the
18 time frame that you see the most incidence of disease is 35
19 or 45 years later. And 42 years after Milton Horowitz
20 started smoking Kent with the asbestos Micronite filter, he
21 was diagnosed with mesothelioma.

22 The only known cause in human beings of mesothelioma
23 is asbestos exposure. There's a substance that's like
24 asbestos called zeolite, but the only place we really know
25 that exists is in Turkey, and the evidence will show that
26 Dr. Horowitz never went to Turkey.

27 There's no known safe level of exposure to asbestos.
28 You will hear from Dr. Nicholson that all levels of exposure

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1 creates some risk. But the thing about mesothelioma, you
2 don't need a lot of exposure. There are reports of people
3 getting mesothelioma from merely living in the neighborhood
4 of a factory or a mine.

5 There're reports of mesothelioma in people who lived
6 in the same household as somebody who worked with asbestos
7 who may have carried some of it home on their clothes. And
8 the asbestos in the Kent Micronite filter was loosely
9 uncompacted. That's what the patent said, it was to be made
10 loosely uncompacted. And the evidence will show it was
11 unencapsulated. It didn't have any kind of treatment on it
12 in an attempt to reduce it. And what it was, was a time
13 bomb waiting for the latency to go off in Dr. Horowitz's
14 lungs.

15 The evidence will show that the defendants are
16 responsible for compensating Milton Horowitz because they
17 supplied a defective product which failed to perform as
18 safely as the ordinary consumer, like Milton Horowitz,
19 expected it to.

20 The evidence will show the defendants are responsible
21 for compensating Milton Horowitz because the benefits of the
22 design of that filter were so far outweighed by the risk.

23 The evidence will show that they are responsible for
24 compensating him because they fraudulently, falsely and

25 misleadingly advertised this product as pure, safe, harmless
26 and dust-free, and offering protection of health, which it
27 did not.

28 The evidence will show that these defendants are

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1 responsible for compensating Milton Horowitz because they
2 failed to warn the consumers of the potential risks.

3 And they are also responsible, the evidence will show,
4 because they were aware of the probable consequences of
5 their actions, but did it anyway, in order to make money.

6 Now, let me tell you a little bit about Milton
7 Horowitz. He had an active, full-time practice as a
8 psychotherapist. He's not an M.D. doctor, a
9 psychotherapist, he's a psychologist, a Ph. D., and he's
10 having to give up that practice. He has had to terminate
11 all his patients. When you're in long-term therapy, you
12 have to set dates. You can't say: Hey, I'm not coming back
13 tomorrow. People spend a long time. And the evidence will
14 show he had no plans of retiring. That was not in his mind.
15 He hoped to spend more time with his family, but the
16 evidence will show that he had an office behind his house,
17 which is where he did his work, and that he intended to keep
18 going.

19 Dr. Horowitz was 72 years young at the time. He just
20 celebrated his 72nd birthday on July 24th of this year,
21 which, incidentally, was the original trial date in this
22 case, and he's been married to Shirley Horowitz for 46
23 years. Shirley's 69 years old. They have four children and
24 a number of grandchildren, and they are very close to all of
25 their children.

26 In 1994, he got the diagnosis of mesothelioma, which
27 is like getting a death sentence. His doctors did not know
28 about Kent and asbestos at the time. They knew he had maybe

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1 some small exposures to asbestos.

2 He came back on a troop ship from Japan at the end of
3 his army service, spent 13 days on the troop ship. He
4 didn't do any work on it, he was just a passenger on board
5 it, but he may have had some exposure there.

6 And he was also a bystander while he was a teacher and
7 a practicing psychologist in both Cleveland at Reserve
8 University, what's now -- or Western Reserve, what's now
9 Case Western, and also a small amount of potential exposure
10 at a construction site next to his office in Los Angeles at
11 the Reiss Davis Center.

12 What's known is that in some individuals, there's no
13 reported asbestos exposure. Some individuals who get
14 mesothelioma, there's no report of asbestos exposure.
15 Generally, when these studies have been conducted, they have
16 been conducted after the person has died, and they are
17 asking family members: Well, what did they do and where
18 were they, and so forth.

19 And what seems to be the case is that often people's
20 asbestos exposure is hidden. And particularly if it's to
21 something like this Kent cigarette, where most people do not
22 know and did not know and still today do not know, including
23 many doctors, that Kent had asbestos in it.

24 And since smoking does not cause mesothelioma, a
25 doctor would not spend a whole lot of time inquiring about
26 brands that people smoked. It would have no relevance, they
27 would believe, to their opinions.

28 The evidence will show that it is fortunate that

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1 mesothelioma is a rare disease, since it doesn't take very
2 much asbestos to cause it. It's fortunately rare in the
3 population. And even among asbestos-exposed people. It's
4 more frequent among people who have occupational jobs
5 exposing them to asbestos, but it's still, even in those
6 populations, a fairly rare disease.

7 And there is no threshold below which someone can't
8 contract mesothelioma. What's known is that the more
9 exposure you have, the more people will develop
10 mesothelioma. The less exposure that people have or a
11 population group has, the less people will come down with
12 the disease. And that if you have no exposure, you'll find
13 no disease. And what you'll hear over and over again is
14 that Crocidolite, the asbestos that was in this filter, is
15 the most hazardous form of asbestos.

16 Now, one of the things you're going to have to do, and
17 your job will be, is to judge the credibility of all the
18 witnesses, including Dr. Horowitz. And you will have to
19 determine whether or not you believe Dr. Horowitz, that he
20 smoked the Kent cigarettes in the right years, in the years
21 where it had the asbestos filter.

22 His memory is not perfect, like most of our memories,
23 looking back 40 years. But he does have a good memory for
24 important events in his life. And one of the most important
25 events in his life was his first job after he got his Ph.D.,
26 graduated from the Meninger Institute in Kansas, and went
27 off to Cleveland. He moved to Cleveland in February of 1952
28 to begin as an instructor at Western Reserve University.

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1 And he started smoking Kents in the spring or the
2 summer of that year. He recalls being influenced by all the
3 advertising for this product, by all the claims of health.
4 And the 1952 date is clear in his mind, but you will have to
5 judge that.

6 What's less clear in his mind is the brands that other
7 people smoked or the exact packaging that it came in, and
8 all the little details. But what he can tell you is, and
9 what he will tell you is, that that filter that I showed
10 you, he'll tell you that he recognizes this pack, and that's
11 what he started out with, and that he switched to the king
12 size.

13 And he'll tell you that he recognizes that filter that
14 had the crepe paper in it, that had the little holes in it
15 that was blue. And he distinctly remembers the color blue.
16 That blue, because it was the color of his father's eyes,
17 and that's one of the things that attracted him to this
18 cigarette. That, and switching from a nonfilter to a
19 filter, because it was going to be safe.

20 And he recalls that he smoked these cigarettes for ten
21 years, and that he quit in January of 1963; that he was
22 starting to be concerned about health hazards of smoking.
23 This was before the Surgeon General's report, before there
24 were ever warnings on cigarettes, and that he didn't want to
25 be a bad influence for his children. He didn't want them to
26 start smoking, and he truly believed that if you want to set
27 the right example for children, you have to do it yourself.
28 So he quit smoking.

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1 And you will hear from family members that Kent was
2 the house brand. That was the brand that was smoked around
3 the house. And unfortunately, his children didn't exactly
4 take his example well, and the first cigarettes they
5 remember sneaking were Kents.

6 Mesothelioma is a fatal disease. There's a less than
7 ten-percent response rate. I don't mean cure, I mean
8 response to treatment, where treatment helps slow the cancer
9 down. There is no cure.

10 Before 1994, he was active. He worked full time. He
11 swam a half a mile every morning. In fact, that's how he
12 discovered he had the mesothelioma, he couldn't make it to
13 the other side of the pool, because he couldn't breathe.

14 And when he went to the doctor, they found that he had
15 fluid in his lungs, which is a classic way that mesothelioma
16 presents. And the diagnosis, the first diagnosis they made
17 was an adenocarcinoma, which is a form of a lung cancer, a
18 type of cancer, was quickly changed, when they did further
19 studies, to mesothelioma.

20 And that's been confirmed at lung Kettering Lung
21 Cancer Center in New York, and it's been confirmed by
22 Dr. Samuel Hammar, who will be here, hopefully, tomorrow,
23 who is the author of a textbook on pulmonary pathology, and
24 who is an expert at the diagnosis of mesothelioma.

25 He's an expert about asbestos-related diseases. He's
26 on the mesothelioma panel for Canada and United States.
27 He's the doctor that reviews all of the pathology for a
28 study that's being done by the University of California at
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1 San Francisco on giving people beta carotene and other high
2 doses of vitamins, people who have been exposed to asbestos,
3 and he reviews all the pathology materials for them, as
4 well.

5 And the evidence will show that Dr. Horowitz was
6 healthy before he was diagnosed with the mesothelioma. He
7 quit smoking in 1963, and in 1971, he was diagnosed with a
8 colon cancer. He had surgery. He's never had a recurrence
9 of it. It's been more than 20 years, and he is considered
10 cured from that. He barely slowed down, but he did, he
11 changed his eating habits. In fact, his family calls him
12 "The King of Crunch," because of the cereals that he eats
13 every morning.

14 In 1986 he was diagnosed with prostate cancer. He had
15 surgery, cancer went into remission, and then a couple of
16 years later he had increasing PSA levels, which is prostate
17 specific antigen, which is a measure and can be an
18 indication of a recurrence of prostate cancer.

19 He was treated with hormones for that, and the PSA
20 went down and has been at zero and maintaining at that level
21 for a number of years. And the evidence will show he went
22 back to work, he went back to his lifestyle, and he was fine
23 until this mesothelioma.

24 The evidence will also show that those diseases are
25 not connected to the mesothelioma. They are not a spread of
26 any other cancer. It is a form of poisoning, asbestos
27 poisoning.

28 And there's no evidence that the prostate cancer has
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1 spread to any other organs, and there's no evidence that he
2 wouldn't have lived his full and normal life time. The
3 colon cancer and the prostate cancer were both treatable
4 diseases. Mesothelioma is not.

5 As the cancer, the tumor, the mesothelioma started to
6 spread, it encases the lungs. The lung is divided into
7 different sections, and it started growing between the
8 sections into his lungs.

9 And as it started to spread in that fashion, his
10 doctor, his oncologist, his cancer specialist, said: We

11 can't just sit by and do nothing. Let's try something. And
12 he spent a number of hours researching, and he put together
13 his own chemotherapy cocktail for Milton Horowitz.

14 And low and behold, Milton has had a good response to
15 the chemotherapy. It's extremely unusual. Six months of
16 chemotherapy getting nauseous, being dizzy, losing his hair,
17 losing weight, being in pain. It's affected his hands. He
18 can't play the piano anymore. It's affected his legs,
19 numbness and tingling, but he has a momentary reprieve.

20 Dr. Rosenbloom can't even believe it himself. But
21 it's momentary. It's temporary. The cancer is not gone.
22 The tumor is still there. It is still surrounding his lung,
23 and it will start to grow again, and it will kill him.

24 He's had \$50,000 of medical expenses to date, and
25 there's another 50 to 100,000 anticipated in the future.
26 He's lost \$70,000 of income to date as a result of the
27 mesothelioma. And for the next three years, even though he
28 might have worked longer than that, the next three years,

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1 even considering a reduced schedule, he will lose \$170,000.

2 And he has suffered. He has been in pain. He's had
3 emotional distress. He's had to give up his patients, which
4 is hard on both the patient and the therapist, and he's had
5 a loss of enjoyment of his life, and he's facing losing the
6 next ten years of his life.

7 Shirley has also suffered. She's suffered by his
8 suffering. She's watched him decline. She'll tell you how,
9 when he was going through the chemotherapy, how he'd get
10 dizzy; how he fell down the steps because he was so dizzy
11 and bruised himself; how he started to look like a holocaust
12 victim because he lost so much weight. And she is agonizing
13 and, at the same time, trying to enjoy their time together.

14 And we will ask you at the end of this case to award
15 punitive damages, as well, for the conscious disregard these
16 companies showed the public, the conscious disregard for the
17 health and safety of the people, people like Milton
18 Horowitz, and for the fraud that they committed.

19 And I'd ask you, at the end of this case, to hold them
20 responsible for their irresponsibility, to make them
21 accountable, and to render a meaningful verdict for Milton
22 and Shirley Horowitz.

23 Thank you.

24 THE COURT: Thank you. We will take the afternoon
25 recess at this time until 3:25. Please keep in mind the
26 fact that you are not to discuss the case, either amongst
27 yourselves or one anyone else. If anyone attempts to
28 discuss the case with you in any way, please advise the

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1 Court of that fact at once. 3:25 return please.

2 (In chambers outside the presence of the jury.)

3 MR. OHLEMEYER: I guess the record should note we are
4 in chambers with counsel present and the judge.

5 Your Honor, I would like the jury to be instructed
6 that what Ms. Chaber has shown is not evidence, it is not
7 evidence until she puts it into evidence, and I think what
8 went on during the last hour-and-a-half was extremely
9 prejudicial, it was improper, and the jury is now left with
10 the impression that all of that is uncontested evidence;
11 that there will be no objections to it, and that she has
12 somehow shown them something that has been established as a
13 fact.

14 I think the entire procedure was improper. I think
15 the jury should be so instructed that that is not evidence,

16 and it is not evidence until it is admitted into evidence in
17 court or through a witness.

18 THE COURT: Any comment?

19 MS. CHABER: I believe, Your Honor, you did that prior
20 to my opening. I believe you told the jury that none of
21 this was evidence, that I had to prove it, that they could
22 hold me responsible if I didn't prove it, and I believe all
23 of that was on the record and said to the jury before I got
24 up and did anything.

25 And as I expressed off the record to the Court, I have
26 a good faith belief that all of the things that I have shown
27 the jury will come into evidence. We have had certain
28 prerulings from the court with respect to Dr. Longo, with
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1 respect to the Fulham photomicrographs, and I only showed a
2 couple of letters and a couple of ads. I showed a picture
3 that my client can authenticate of the cigarette filter.

4 I do not believe that there was anything improper
5 about what I did, and if I don't prove it, I'm sure these
6 defendants, who have ordered a copy of the transcript, will
7 shove it down my throat, to be blunt.

8 THE COURT: Okay. All right. Well, I think I've
9 admonished the jury that what she said is not evidence and
10 that she has to prove it. If she doesn't, she'll suffer the
11 consequences.

12 MS. ROENISCH: I'm not sure it was clear as far as
13 documents.

14 MR. SCHOLL: I would agree.

15 MS. ROENISCH: What I would ask for is the documents
16 are not evidence.

17 THE COURT: All right. I'll emphasize it again.

18 THE COURT: Sure.

19 (Recess taken.)

20 (In open court in the presence of the jury.)

21 THE COURT: It appears as though everybody is here,
22 all the jurors and the attorneys, except for one juror, who
23 is now arriving.

24 Ladies and gentlemen, I had indicated to you earlier
25 that what the attorneys say is not evidence. I want you to
26 keep that in mind. I didn't say specifically that all of
27 the things that Ms. Chaber has shown you are not admitted
28 into evidence at this time. We will see whether or not they

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1 are admitted in evidence.

2 You looked looked at them and you know what they were,
3 what they said, so on, but they are not evidence for you to
4 consider until they are admitted into evidence and marked,
5 and then you will see them in the jury room.

6 The defense may now make their opening statement.

7 MR. OHLEMEYER: May it please the Court.

8 Once again, I'm Bill Ohlemeyer and I represent
9 Lorillard. You met Ron Scholl yesterday. And before I
10 start, I want to introduce Jim Cherry. Mr. Cherry is vice
11 president of Lorillard, and he is here and will be here for
12 the trial, but I wanted to introduce you to him so if you
13 saw him in the hall or the elevator, you will know that he
14 is here with me. There may be a few days or a few sessions
15 where he's not here, but for the most part, Mr. Cherry will
16 be here throughout the trial.

17 The other thing I want to tell you is that I know it's
18 getting late in the day. I'm going to try to be very brief.
19 I think the place that I want to start is much of the
20 evidence that you'll hear in this case has to do with the

21 time period 1952 to 1956, and it was in May of 1956 when
22 Lorillard stopped selling cigarettes with the asbestos
23 filter that you heard about.

24 The one thing everybody's going to agree about is this
25 was 40 years ago and 40 years ago, things were very
26 different. Those of us who were not alive in 1952 mark time
27 and engage time a little differently than some of you who
28 might have been, but this was a time when Harry Truman was
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1 just leaving office as president, Dwight Eisenhower was
2 taking office. The San Francisco giants played baseball in
3 New York. They were the New York Giants then.

4 And those of you who have been here since the '50s can
5 probably remember some of the changes in and around San
6 Francisco in and around these 40 years. But a lot of what
7 we are going to hear about deals with this time period.

8 And most of the evidence that I'm going to present --
9 and I want to remind you there are a lot of ways for the
10 evidence to come in. It can come in direct examination,
11 cross-examination, documents and exhibits. And some of the
12 things that I'm going to list for you today you may not hear
13 in my case, you may hear them from the plaintiffs'
14 witnesses. And even though the plaintiff has put the
15 witness on, that doesn't mean that it doesn't prove
16 something that I expect to prove to you.

17 But there are three main areas of evidence that you're
18 going to hear about. The first one is asbestos filter
19 material. And I'll go into this in a little bit more
20 detail, but the evidence will be that at the time, putting
21 asbestos in a cigarette filter, or any other filter, was a
22 good idea. It was not a secret.

23 The cigarette that Lorillard sold with this filter was
24 a poor seller and by 1956, there was a need to improve or to
25 change certain things about the cigarette, and you'll hear
26 about those.

27 The next thing you'll hear about is Milton Horowitz,
28 Dr. Horowitz. You'll hear about Dr. Horowitz's medical
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1 history, including, as Ms. Chaber told you, the fact that
2 he's had cancer before. And you'll here about
3 Dr. Horowitz's memory and recollection.

4 And the reason I say that is this, and I'll describe
5 it in a little more detail in a minute. I don't know what
6 Dr. Horowitz is going to say when he testifies, but we do
7 know what he has to say about a lot of these subjects.

8 Dr. Horowitz was deposed at his attorney's request.
9 We all went to Beverly Hills and for two days, we took
10 testimony from Dr. Horowitz under oath, just like in a
11 courtroom. And he's described for us his recollection and
12 his memory and his employment history and his education, and
13 things like that. So we have a fairly good idea of what
14 Dr. Horowitz has to say. And what Dr. Horowitz's testimony
15 will establish is that he did not smoke Kent cigarettes
16 before 1956.

17 And then finally, you'll hear a lot of evidence in
18 this case about cancer and about mesothelioma. And you'll
19 here, probably from Dr. Hammar, who wrote this book, that
20 there are other causes of mesothelioma besides exposure to
21 asbestos. There's no doubt that exposure to asbestos in the
22 workplace is a common cause of mesothelioma. But there are
23 other things that cause it.

24 And you'll also hear that there is a threshold level,
25 a minimum level of exposure before which you're at risk of

26 developing the disease. Mesothelioma is a cancer, and
27 you'll hear a lot about cancer, as well as specifically the
28 type of cancer known as mesothelioma.

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1 So let me back up for a moment. In 1952, 1956, using
2 asbestos in a cigarette filter was a good idea. Asbestos
3 was being used in a lot of different things at that time and
4 had been for decades. It was being used as an insulation
5 material, it was being used as a filter material, it was
6 used in consumer products, ironing board covers, fake
7 decorative snow to put on Christmas trees, things like that.

8 Asbestos has been used and was used in a lot of
9 different buildings, ceiling tiles, floor tiles, insulation
10 materials, up through the 1970s. And, in fact, certain laws
11 required that asbestos be used in certain buildings, such as
12 schools and other public buildings into the 1970s.

13 There are regulations today that regulate exposure to
14 asbestos in the workplace, and they don't differentiate
15 among different types of asbestos fibers. They just set
16 levels that are designed to be put in workplaces. So from
17 1952 to 1956, asbestos was certainly something that was
18 being used in a lot of different things.

19 At that time, asbestos had been researched and
20 studied, and the only health effects that those researchers
21 had associated with asbestos was exposure to asbestos in the
22 workplace, prolonged and intense occupational exposure to
23 asbestos. And at that time, during the '50s, it only
24 involved people who were working with raw asbestos, people
25 who were mining it, who were weaving it into asbestos cloth,
26 or who were milling it into other things.

27 And in the 1950s, what was believed was that if you
28 were exposed to asbestos at certain levels over long periods

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1 of time in those types of workplace situations, you could
2 develop a disease called asbestosis, and that is an
3 occupational lung disease. It's a scarring of the lung.

4 Back in the 1950s, that was the only disease that
5 medicine and science had connected with asbestos. Asbestos
6 was still being used in buildings, it was still being used
7 in consumer products, it was still being used by workers
8 installing insulation. But at that time, asbestos was
9 believed to be a health risk in the occupations using raw
10 asbestos.

11 It wasn't until the 1960s that researchers began to
12 think that people who were working with asbestos in the
13 workplace, installing asbestos insulation or installing
14 asbestos tiles, could develop asbestos-related diseases.

15 In fact, it wasn't until 1960 that the association
16 between asbestos and the disease mesothelioma received wide
17 publication and discussion from the medical literature. And
18 those people were people who were living in a town where
19 asbestos was being mined and being used to pave the streets,
20 and things like that. And for the first time, then, in
21 1960, asbestos and mesothelioma was connected.

22 So asbestos was being used in a lot of different
23 products. It was a good filter material. The physical
24 properties of asbestos made it a good filter material for a
25 lot of reasons related to how things are filtered and how
26 filtration works. Asbestos had been used, it was used for
27 years after that, to filter air, to filter beer, to filter
28 wine, to filter liquids in a laboratory.

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1 At the time in 1952, asbestos had been used in Army

2 and Navy gas masks. Crocidolite asbestos filter material
3 was used in gas masks that were used in World War II. It
4 was also being used in Atomic Energy Commission facilities
5 to filter the air so that radioactive particles wouldn't
6 escape from those facilities. It was being used in hospital
7 operating rooms to filter the air and to help prevent
8 infection and other problems in hospitals.

9 This was a time when the war had just ended and
10 everybody was interested in using that wartime technology,
11 the things that had worked so well in the war, to try to
12 make life easier, to try to incorporate them in consumer
13 products.

14 There are some references to this being the atomic
15 age, or science and technology being very important. And so
16 there was nothing unusual about a company taking a product,
17 such as the asbestos filter material used in the military
18 gas masks, and trying to find a way to use it in something
19 that they were selling.

20 And what happened is in 1952, Lorillard started
21 selling Kent cigarettes with this asbestos filter material.
22 The filter was made of cotton, crepe paper, cellulose
23 acetate, which is a sort of synthetic, short synthetic
24 fiber, and these asbestos fibers.

25 Asbestos was somewhere, probably, about ten percent by
26 weight of the filter. It wasn't all asbestos. And you
27 heard about the patent. A description of the patent is
28 loose and uncompact filter material is a description of
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1 filter material. It doesn't have anything to do with
2 whether the material is loose inside the filter.

3 A loose and uncompact filter is a filter that is made
4 a certain way, as opposed to a dense or a compact filter.
5 Examples are filters you use to filter coffee are dense
6 filters. Filters that you use to filter air, perhaps in a
7 furnace or an air system, are sometimes referred to as loose
8 or uncompact filters.

9 It doesn't mean that this filter material wasn't
10 significantly compressed when it was manufactured. In fact,
11 the evidence will be that it was compressed at a ratio of 32
12 to 1, which will be like taking three feet of the filter
13 material and pushing it down into an inch before it was
14 fabricated and put on the cigarette filter. And there will
15 be testimony about that, about how the cigarette filter was
16 actually manufactured, and how it was attached to the
17 cigarettes.

18 But there was no secret about it. The fact that
19 asbestos was used in this cigarette filter was disclosed to
20 the government when the patent was applied for. And a
21 patent was issued by the government for this asbestos filter
22 material.

23 It was also known to the American Medical Association.
24 The American Medical Association at that time, as they still
25 do, publishes a journal that is sometimes referred to as
26 JAMA, the Journal of the American Medical Association.

27 And in July of 1954, the chemical laboratory of the
28 American Medical Association did some tests on filtered
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1 cigarettes. There were only a couple on the market, and
2 they were trying to see which ones were better than the
3 others in filtering out the smoke. And in those articles,
4 they described the use of asbestos filter material in this
5 cigarette filter.

6 There were actually four separate articles, two of

7 which talked about the asbestos filter material. None of
8 them said that there was anything wrong or any reason to be
9 concerned about using asbestos in a cigarette filter. In
10 fact, to give you some sense of how things were in the
11 1950s, this is the journal that gets sent to doctors and
12 indeed, there was cigarette advertising at that time in the
13 Journal of the American Medical Association. There's an ad
14 here for Camels, there's an ad for Phillip Morris brand
15 cigarettes, and it's obviously not a secret to the American
16 Medical Association, and whoever read the journal, that
17 there was asbestos in the filter material.

18 Consumer Reports is published by an organization
19 called Consumers Union, they did tests in the '50s, and they
20 disclosed the fact that there was asbestos in the cigarette
21 filter. And again, made no mention of it being a health
22 concern or no alarm about it at all.

23 Popular magazines like Newsweek -- and here are the
24 Dodger are still playing in Brooklyn. You can see the B on
25 his hat -- and Business Week also wrote articles about this
26 new filter and again, disclosed the fact that there was
27 Crocidolite asbestos in the filter. Didn't say anything
28 about being a health risk or causing any concern. So the

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1 fact that there was asbestos in the filter material was not
2 a secret.

3 Now, the word "Micronite" is a trade name. Like a
4 trademark. It was used dozens of years to describe the
5 filter used on Kent cigarettes. The word Micronite doesn't
6 mean there was asbestos in the filter. It just is the
7 trademark that was used for whatever filter is being used
8 over the years on that cigarette.

9 The Kent cigarette sold during these years, though,
10 was a poor seller. The filter had an effect on the taste
11 and flavor of the cigarette. It removed a lot of the things
12 in the smoke that made cigarettes taste the way they taste.

13 The cigarette that was sold during these years also
14 was sold at a premium price. The asbestos filter material
15 cost more to manufacture. And the process that they used to
16 manufacture the cigarette was slow and inefficient. So Kent
17 cigarettes were sold at a premium price. They cost more
18 than other cigarettes. Premium price, weak taste, not much
19 flavor, and they weren't a very big seller.

20 During this entire time period, there was never a year
21 where they had more than one percent of the market. And
22 what that means is 99 out of every 100 cigarettes that got
23 sold during these years was something other than a Kent
24 cigarette. In fact, in 1955, the market share was about
25 point six-tenths of one percent, and in 1958 it was point
26 eight. Less than one percent of the market. Which is why
27 in 1956, it became necessary to change from the asbestos
28 filter to something else.

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1 And the reason it happened is that the people who made
2 the filter material developed a way to make a nonasbestos
3 filter that was almost as efficient as the original filter,
4 but was cheaper and easier to manufacture, and it allowed
5 Lorillard to lower the price, sell the cigarettes at the
6 same price as other brands, and still put out something that
7 people would buy.

8 Before 1956, Lorillard had certainly an incentive to
9 change or to improve the cigarette. It wasn't selling.
10 They had the opportunity, they had available to them other
11 types of filter material. They were selling a cigarette in

12 1953 called Old Gold that had a filter on it that had a
13 filter that was somewhat like what they ended up using in
14 1956, but wasn't as efficient. So it wasn't as if they
15 couldn't put something else in the filter. And it wasn't as
16 if they were selling a lot of the Kent cigarettes.

17 But it wasn't until 1956 that that they finally found
18 an efficient manufacturing process and a supplier of filter
19 material, and they changed to the nonasbestos filter
20 material in May of 1956.

21 So a lot -- and a little bit of what we will talk
22 about, what you'll hear about in this case, will refer to
23 these two separate cigarettes. This is the old one with the
24 asbestos filter. This is the new one, which is after May of
25 1956.

26 The price of the old one, as I said, was a premium
27 price. When they switched to the new filter material, it
28 was cheaper to buy, easier to manufacture, and allowed them

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1 to lower the price to the same price as other cigarettes.
2 And the price reduction was advertised in full-page ads
3 across the country. The sales were very much improved after
4 1956. Lorillard sold as many Kent cigarettes in 1957 as
5 they did in 1952 and all the way through 1956, combined.
6 And in 1958, they sold three times as many as they did in
7 1957.

8 So you had a cigarette before 1956 that never had more
9 than one percent of the market. After 1956, it became a
10 popular cigarette and became one of the most popular
11 filtered cigarettes in the market by the late 1950s.

12 The filter on the old Kent was a blue and white, kind
13 of marbled color. The composition of the filter was very
14 different than what cigarette filters look like today. It
15 had the crepe paper in it and it had the different filtering
16 material. When they switched to the new filter material in
17 1956, the filter was a solid blue color, and it looks much
18 like a cigarette filter looks like today. The composition
19 of the filter material is very similar to the types of
20 filters that are on the market today. So in May of 1956,
21 there were a number of things about this cigarette that
22 changed.

23 Now, you've also heard -- you've heard about some
24 tests that Ms. Chaber described to you that were done during
25 the 1950s at Lorillard. And there were actually a number of
26 tests that were done. Remember, this is the first filtered
27 cigarette that the company had sold. It's a time period
28 where business is doing a lot of research and development

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1 and using some of these new analytical tools to look at
2 different aspects of the products, and there were a lot of
3 tests that were done.

4 They did tests to measure the temperature of the
5 smoke, they did tests to determine how efficient the filter
6 was at removing tar and other things from the smoke, they
7 did tests to determine whether the filter made it harder to
8 draw smoke through the cigarette.

9 And they also did tests to look at the smoke to see if
10 the smoke had anything in it that was from the filter, or
11 the smoke looked anything different than smoke from other
12 unfiltered cigarettes, and there were a number of different
13 tests done. And all of the evidence you'll hear about these
14 tests, for the most part, comes from Lorillard's files.
15 There's some correspondence and some writings that describes
16 all of these tests.

17 You'll hear about a man by the name of Killian. There
18 will be some evidence about the Laboratory of Industrial
19 Hygiene, abbreviated LIH. A man by the name of David
20 Kendall, who had a consulting operation. The Armor Research
21 Foundation, which is a part of the University of Illinois.
22 Dr. Fulham you heard about, you'll hear about, and
23 Ms. Revere.

24 And Dr. Killian did tests that determined that there
25 was nothing in the smoke, no asbestos in the smoke, no
26 silica in the smoke. In fact, Dr. Killian's tests suggested
27 that the smoke from the cigarette was cleaner than the air
28 you breathe. And as Ms. Chaber said, there will be

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1 testimony in this case about asbestos occurring in the air.
2 It's a natural mineral. It's in air, it's in water, and
3 there will be testimony about that.

4 We don't know much about the Laboratory of Industrial
5 Hygiene, but the correspondence suggests that the results
6 were negative, nothing in the smoke from the filter.

7 Dr. Kendall used a technique called infrared
8 spectroscopy to determine whether or not there were any
9 chemical fingerprints of asbestos, and he found no evidence
10 of asbestos in the smoke. The Armor Research Foundation had
11 an electron microscope. They looked at the smoke under an
12 electron microscope. They found no asbestos in the smoke.

13 Dr. Fulham did two separate studies, one of which
14 found no asbestos, and the other found what he described at
15 the time as traces. And the correspondence that refers to
16 Ms. Revere's test also describes this as traces. All of
17 these tests show that there was either no asbestos in the
18 smoke, or it was below the level of asbestos you would
19 expect in the air and in the water.

20 And the tests that Dr. Fulham did, that you heard a
21 little bit about with respect to Mr. Hallgren, involved
22 cigarettes that were sent to him by Lorillard. He didn't go
23 out and buy cigarettes and do tests. There's very little
24 information about how those cigarettes were smoked or how
25 they were examined or what kind of techniques were used in
26 the Fulham laboratory.

27 The laboratory, at that time, was in the basement of
28 Mr. Fulham's home, and he and Mr. Hallgren would work a

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1 couple nights a week looking at this under the microscope.
2 And the evidence will be that they didn't run any blanks or
3 any controls to see whether there was any asbestos in the
4 air or in any of the building materials in the basement.

5 And there will also be evidence that these pictures,
6 these photomicrographs that Mr. Hallgren has found, depict a
7 number of other types of mineral fibers besides Crocidolite,
8 something that wasn't -- mineral fibers that weren't in the
9 filter.

10 There are tests that you can do today to look at
11 something under a microscope and determine its chemical
12 structure. You can differentiate between the Crocidolite
13 fiber and chrysotile fiber and other types of asbestos
14 fibers or other minerals. Those tests weren't routinely
15 done in the '50s, and to the extent they were done, they
16 found chrysotile in the fine fibers, not Crocidolite fibers.

17 Dr. Horowitz, as you heard, had cancer of the colon,
18 had cancer of the prostate. And then when he was
19 originally -- the colon cancer was something called
20 adenocarcinoma of the colon. The prostate cancer was
21 something called adenocarcinoma of the prostate. When he

22 first started having his lung problem, his doctors thought
23 he had adenocarcinoma of the lung, which is something
24 different than mesothelioma.

25 It was then determined that Dr. Horowitz had
26 mesothelioma. That's what his treating physicians, people
27 who were involved in his care and his treatment, decided.
28 And they told him that mesothelioma can be caused by

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1 exposure to asbestos. They asked him about asbestos, and
2 the only thing he could recall was that he had some asbestos
3 pipes in his basement in the home he lived in.

4 Eventually, Dr. Horowitz hired a lawyer and filed a
5 lawsuit against manufacturers of asbestos products that he
6 claimed he was exposed to in the Army on a troop ship, and
7 in Cleveland and in Los Angeles while he was working as a
8 psychologist. The claim in that lawsuit was that he was
9 sitting in his office with the window open, and they were
10 building a building across the street, and he might have
11 been exposed to asbestos.

12 In connection with that lawsuit, Dr. Horowitz's lawyer
13 sent him to Oakland to see a doctor by the name of Barry
14 Horn. Dr. Horn asked Mr. Horowitz whether he ever smoked
15 cigarettes. That's something that Dr. Horn asked all his
16 patients. He's a pulmonologist. And Dr. Horowitz told
17 Dr. Horn that he smoked cigarettes, and that he smoked Kent
18 in the '50s, and that he recalled smoking -- starting to
19 smoke Kent sometime after he moved to Cleveland, and he
20 smoked Kent until the early '60s, when he quit smoking.

21 And he told Dr. Horn that the reason that he quit
22 smoking was that because for the first time, he started to
23 think that there might be something about smoking
24 that wouldn't be good for him.

25 Dr. Horn is the first person who told Dr. Horowitz
26 that there was asbestos in Kent cigarettes that were sold in
27 the '50s. Dr. Horowitz has testified in his deposition that
28 when he started smoking Kent cigarettes, the filter was a

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1 solid blue, a pale blue, the color of his father's eyes,
2 exactly what he said in his deposition.

3 He also testified that the color of the filter changed
4 four years after he started smoking Kent cigarettes. And
5 the color that the filter changed to those four years later
6 was white. And that was the only change in the color of the
7 filter that Mr. Horowitz could remember during the time he
8 smoked Kent. He didn't recall the price going down. He
9 didn't recall the composition of the filter material
10 changing at all.

11 The evidence will be that when these cigarettes were
12 sold in 1957 -- '56, end of '56 and beginning of '57, they
13 were sold with a solid blue filter. And the color of that
14 filter did change, and it changed in the early '60s. It
15 changed to white.

16 Dr. Horowitz's testimony -- and there will be some
17 other pieces of evidence that will bear on this issue that,
18 by themselves, don't answer the question, but when you put
19 them all together at the end of the case, I think will make
20 it very clear that what Dr. Horowitz smoked was this
21 cigarette.

22 He started smoking Kent sometime after 1956, after the
23 price had dropped, after the blue filter was put on the
24 cigarette, and he smoked it until sometime in the '60s,
25 after the filter had changed to the color of white.

26 Finally, the evidence that you'll hear has to do with

27 cancer. For all that is known about cancer today, there is
28 still a lot of things that medicine and science doesn't know

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1 about cancer.

2 Most cancers don't have a known cause. Some cancers
3 have risk factors associated with them, things that had been
4 demonstrated, in either experiments or in epidemiological
5 studies, studies of groups of people, to increase the risk
6 of developing certain things. But for the most part, most
7 cancers don't have a known cause.

8 There is no cancer that has only one known cause.
9 There may be a rare type of brain cancer that is only caused
10 by one thing, but you'll hear from the plaintiffs' experts,
11 as well as the defense experts, that there is no cancer that
12 has only one known cause.

13 Mesothelioma behaves like many other cancers. There
14 are other things that cause it besides asbestos.
15 Occupational exposure to asbestos or exposure to asbestos in
16 the same amounts, as would be encountered in the workplace,
17 have been shown to cause mesothelioma.

18 There are cases of people who live near factories who
19 are breathing a lot of asbestos everyday because of what's
20 going on in the factory, or who live in towns where there
21 are asbestos mines, or who do work with somebody who is
22 working in an occupational situation coming home everyday
23 with their clothes full of asbestos. There are cases
24 reported like that.

25 But the vast majority of mesothelioma is caused by
26 occupational exposure to asbestos. About 20 percent of
27 mesothelioma in men, and up to a half in women, has no known
28 cause. Doctors study the cases. They talk to the patients,

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1 they look at their medical records, they review their
2 histories, and they can't find something, including
3 asbestos, that might have caused that mesothelioma.

4 Mesothelioma occurs in animals, it occurs in children.
5 It's reported in medical literature prior to the time there
6 was industrial use of asbestos in this country. It is
7 believed that heredity and genetics can cause mesothelioma.

8 There are doctors who believe that cancer has a
9 genetic component. There's something about genes that don't
10 work right or go wrong that create cancer. There are
11 mesotheliomas known as idiopathic or spontaneous
12 mesotheliomas. Those are mesotheliomas that occur without a
13 doctor being able to determine what caused the cancer.

14 There is also increasing evidence to suggest, but not
15 established, that there's a threshold level of exposure.
16 For a while, when the risks of asbestos were first being
17 studied, there was some thought that one exposure to one
18 fiber of asbestos could cause cancer or other
19 asbestos-related diseases. That's not generally accepted
20 today as being correct.

21 And you'll hear some evidence about how medicine and
22 science changed their thinking over time about things. They
23 developed new ideas and they learned more as they study
24 things. But there is asbestos everywhere. Everybody has
25 asbestos in their lungs. You can do analysis of lung tissue
26 from people who live in big cities and find asbestos in
27 their lungs. Yet, mesothelioma is a very rare disease in
28 the general population in people who weren't occupationally

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1 exposed to asbestos.

2 There have been researchers who have gone at the

3 question of threshold three different ways. One group has
4 been to study all of the occupational people who develop
5 mesothelioma and try to figure out how much asbestos they
6 were being exposed to in the workplace. And to do that,
7 they can measure the asbestos in the air and they express it
8 in a phrase "fiber per cc." And what that is, is one fiber,
9 one asbestos fiber in a cubic centimeter of air. And that's
10 one way to measure it.

11 And they have a phrase called "a fiber year" that is
12 something that is defined as exposure to one fiber per cc
13 for one year of work. That's all day, every day, eight
14 hours in a workday. And those researchers have determined
15 that it takes five fiber years of exposure to asbestos to
16 cause mesothelioma.

17 And you can express that -- you can do a calculation,
18 and it turns into about 260 billion asbestos fibers of a
19 certain size and a certain shape. And you'll hear a lot of
20 testimony about that, too, because it's important to the
21 ability of asbestos to cause disease. Only asbestos fibers
22 of a certain size and a certain shape have the ability to
23 get into the lung where they can cause mesothelioma.

24 And there's been a lot of research on that, there's
25 been a lot of study of it, and it also is important, when
26 you consider the evidence that you've heard about from
27 Dr. Longo. I'll talk about that in just a second.

28 The other way you can do that, to try to figure out
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1 how much asbestos it takes to cause disease, is to look at
2 something called fiber burden. And this is an analysis of
3 lung tissue to see how much asbestos is in the lung.

4 And regardless of how you go at it -- and there are
5 different types of researchers who do it different ways --
6 there are numbers, values, thresholds established. Exposure
7 below the threshold isn't believed to cause disease;
8 exposure above the threshold may or may not cause the
9 disease, depending on the individual person, but you have to
10 be exposed above the threshold before you're even at risk of
11 developing disease.

12 And in this case, you'll hear some testimony about a
13 Dr. Longo. What Dr. Longo did was take nine cigarettes from
14 a 40-year-old pack of cigarettes that he had no idea where
15 they had been in those 40 years, all he knows is that a
16 cigarette pack collector, who was being paid as a consultant
17 by the same lawyers who pay Dr. Longo, had a pack of
18 cigarettes for a couple years that he collected.

19 They took those nine cigarettes and, after trying to
20 smoke them on a smoking machine, decided to use a syringe.
21 They took a drill and they drilled out the end of the
22 syringe and put the cigarettes in the syringe, lit them, and
23 pulled the plunger in the syringe in an effort to smoke
24 them.

25 And Dr. Longo then looked at the smoke from inside
26 those syringes under a microscope and counted the number of
27 asbestos structures, not fibers, structures, that he found.
28 And a structure is a bundle, or an aggregate, or a group of
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1 fibers.

2 And when did he that same thing with two other
3 cigarettes only using a smoking machine -- Dr. Longo gave a
4 deposition, and, in fact, I asked him about the cigarette
5 smoking machine, the syringe he used, and whether that was
6 really representative of the way people smoked, and he'll
7 testify that that made him mad, and he wanted to prove that

8 his syringe was an accurate test.

9 And he went out and he took two more cigarettes that
10 were 44-years-old that he didn't know anything more about
11 than he knew about the other ones, put them in a machine
12 this time, and then looked at the smoke and counted the
13 number of structures he saw.

14 You're going to hear a lot of testimony about this,
15 and this is all I want to say about it. He never did a test
16 to figure out whether putting the cigarettes into the
17 syringe -- and you'll see the videotape. It took a minute,
18 minute-and-a-half, two minutes, sometimes, to put those
19 cigarettes into those syringes -- never did a test to figure
20 out whether that caused asbestos to be released from the
21 filters.

22 When he did the test on the smoking machine, the
23 numbers he got were one-fiftieth of what he got with the
24 syringe. Without accounting for anything else, just using
25 that same old cigarettes in the smoking machine, he got a
26 lot of small numbers.

27 If you take his word and you leave aside all the other
28 criticisms you might make about this test, it turns out the

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1 numbers he comes up with are one-two hundred fiftieth to
2 one-one thousandth of this threshold.

3 If you accept Dr. Longo's numbers, smoking these
4 40-year-old cigarettes in his machine would expose -- would
5 release asbestos at a level that if you smoked a pack a day
6 for a year, you'd only get one-two hundred fiftieth to
7 one-one thousandth of this threshold level of exposure
8 necessary to cause mesothelioma.

9 One final point about cancer and mesothelioma. There
10 are signs, or what doctors call markers of asbestos exposure
11 in individuals. Things that a doctor can look at either on
12 an x-ray or on a CT scan or in medical records and say:
13 This person has been exposed to asbestos, without knowing
14 anything about the person, without talking to the person.

15 You can take these records, you can put them in front
16 of a doctor, you can put x-rays on a machine, turn on a
17 light, and they can say: These markers, these signs, tell
18 me that this person's been exposed to asbestos.

19 Asbestosis, the disease asbestosis, is one of these
20 markers, something called bilateral pleural plaques; little
21 callouses on the lung is another way to do it; pleural
22 effusions are another; and analyzing lung tissue to see if
23 there's more asbestos in the lung than you would expect to
24 find there is another way for a doctor to do that.

25 In this case, Dr. Horowitz has none of those markers
26 of asbestos exposure. If you took Dr. Horowitz's medical
27 records and his x-rays and you put them in front of the
28 doctors, the most they could say was: This man has

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1 mesothelioma.

2 They can't look at those records and look at those
3 x-rays and say: This man has been exposed to asbestos. In
4 fact, Dr. Hammar, who may testify tomorrow, will tell that
5 you a pathologist can't ever look at a tumor and figure out
6 what caused that tumor. But just by looking at the tumor,
7 just by saying: This person has mesothelioma, that does not
8 tell you what caused that particular cancer.

9 So I look forward to having an opportunity to put the
10 evidence on for you and present this case, and I think that
11 when the trial is over -- and I think it will be a shorter
12 trial than we had anticipated, hopefully -- the evidence

13 will establish that using asbestos in 1950s was a good idea.
14 It certainly wasn't a secret. And that the asbestos filter
15 material was switched to nonasbestos filter material not
16 because of any concern about the asbestos, but in an evident
17 effort to improve the product, sell more cigarettes, which
18 actually happened, and that Mr. Horowitz does not have
19 mesothelioma caused by exposure to asbestos. Thank you.

20 THE COURT: Mr. Brake?

21 MR. BRAKE: Okay, Your Honor.

22 Your Honor, Counsel, and members of the jury: You may
23 recall from yesterday that I'm Stephen Brake, and I
24 represent Hollingsworth and Vose. With me is Cynthia
25 Roenisch. Cynthia is from a San Francisco law firm Preuss,
26 Walker & Shanagher. She also represents Hollingsworth &
27 Vose. And my partner, Andrew McElaney, from Boston, will be
28 here for most, if not all, of the trial.

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1 Hollingsworth and Vose is a paper company. It's not
2 the type of paper company that owns forests and large pulp
3 mills and makes writing paper, or anything like that. It
4 makes scientific and technical papers, including some filter
5 papers.

6 And back in the period '52 to '56, as you've heard, a
7 subsidiary of Hollingsworth and Vose made the filter
8 material that was sold to Lorillard and was used on the Kent
9 cigarettes that had asbestos material. That filter material
10 made by Hollingsworth and Vose had some amount of
11 Crocidolite asbestos by design, and that's why Dr. Horowitz
12 is claiming against Hollingsworth and Vose.

13 How did this paper come into being? As you know,
14 we've got to go back in time in this case. We've got to go
15 back to World War II. During the Second World War, it was
16 learned that the Germans had very superior gas masks to the
17 Allied forces, which was obviously of great concern.

18 As luck would have it, the British captured several
19 gas masks, and they sent some to the United States to be
20 analyzed and tested, and a joint project was begun by the
21 Army Chemical Corps and the Naval Research Laboratory in
22 Washington, D.C., in which they attempted to make the gas
23 mask filter paper equal to or better than the German paper.

24 What they did was they took the German paper apart and
25 they found it had Crocidolite asbestos, and they found it
26 was a very, very efficient filtering agent. So efficient,
27 it filtered out everything that came through it.

28 So what the Naval Research Lab did was it tried to

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1 find paper mills that could help it manufacture similar, if
2 not better, paper. And there was a young research chemist
3 at the lab named Harold Knudson. You heard about him during
4 Ms. Chaber's presentation. He contacted Hollingsworth and
5 Vose.

6 There were a lot of paper mills in New England at that
7 time, and we were one of them. He developed a gas mask
8 filter paper that filtered out everything that came through.
9 After the war, Hollingsworth and Vose manufactured all the
10 filter paper containing Crocidolite asbestos in the Army and
11 the Navy.

12 During those years, the same paper had other
13 applications. It was used, for instance, in the atomic
14 energy plants to filter out radiation. It was used to
15 filter hospital operating rooms. And, in fact, it was used
16 by the Department of Defense to hang from planes in the
17 upper atmosphere to detect radiation from Soviet bombs, and

18 that's how, in fact, they had a hydrogen bomb. All back in
19 the '40s and '50s.

20 This was a military secret. In fact, it was
21 classified information for some period of time. In 1951, it
22 was declassified. It came to the attention of Lorillard,
23 and Lorillard contacted Hollingsworth and Vose and asked
24 whether the filter media could be modified for use in the
25 cigarette.

26 This filter, as I've told you, filtered out everything
27 that came through. In fact, it was called, as a result, an
28 absolute filter. You couldn't put an absolute filter on a
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1 cigarette, because all that would come through would be hot
2 air, no tar and no smoke.

3 So what they did, they had to modify it to let some of
4 the cigarette smoke through, and that's what they did.
5 Research and development was done and by March, February of
6 1952, they were manufacturing the filter material. And they
7 did that until not 1957, until May of 1956.

8 At that time Lorillard, called them and said: We
9 found an alternate supplier, a different filter altogether,
10 as you've heard, and they canceled the contact. And that
11 was the ends of the business relationship between
12 Hollingsworth and Vose and Lorillard.

13 So where does that bring us? Well, Dr. Horowitz says
14 now that he smoked those cigarettes and they caused his
15 disease. So let me take up the first points.

16 When the evidence will show you is that when
17 Dr. Horowitz tells you he smoked Kent cigarettes before May
18 of 1956, he is mistaken. Mr. Ohlemeyer laid that out for
19 you in some detail, and I won't go back over it.

20 There will be a lot of different evidence and, in a
21 way, it's a kind of a case within a case. You have to look
22 at what Dr. Horowitz says, and you have to look at it
23 carefully. You have to look at how he says it is and what
24 he says, and you have to look in particular of how he
25 describes the cigarettes and, in particular, how he anchors
26 his memory.

27 I'm not going to lay it all out now, because he's
28 going to take the stand, or he's going to testify, or we are
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1 going to see his videotape and you'll see what I mean. Look
2 at his testimony carefully and you will see that he is
3 mistaken when he tells you he smoked Kent cigarettes.

4 Now, in this case, in addition, we are going to have a
5 lot of expert testimony. We are going to have expert
6 testimony, in particular, about the Kent cigarette, the
7 filter and what, if anything, came out.

8 Plaintiffs told you about Dr. Longo. Dr. Longo's
9 going to come, he's going to describe the two experiments to
10 you, and what he did. As Mr. Ohlemeyer told you, he tested
11 nine cigarettes in 1991. And he did not use, as has been
12 conceded, standard protocol to do it. Ms. Chaber told you
13 some of the reasons why Dr. Longo told you he did not use
14 standard protocol. The evidence will show you the reason
15 was he wanted to find a way to get asbestos out of those
16 cigarettes.

17 Then what he did, after Mr. Ohlemeyer asked him some
18 questions, Mr. Ohlemeyer said he got mad. And he got some
19 more of the cigarettes, he found three more, and he tested
20 those. And he tested one in January of '94 and two in
21 September of '94, and in time to account for one criticism
22 out of a whole abundance of criticisms.

23 To account for one criticism only, namely that he
24 didn't use the standard smoking machine, he used the smoking
25 machine the second time -- and you'll hear, by the way, all
26 the criticisms of Dr. Longo's testimony when he takes the
27 stand under cross-examination, principally by Mr. Ohlemeyer,
28 but some by me.

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1 Now, what happened when Dr. Longo tested using the
2 standard smoking machine was this. Mr. Ohlemeyer stole my
3 thunder a little bit, but that's okay. The thing is,
4 counsel for the plaintiff told you that when he did the
5 second test, he had a little bit lower quantities of
6 asbestos.

7 Well, he didn't, as you will see when you listen to
8 the evidence, he didn't have a little bit lower. He had
9 one-fiftieth of what he had the first time. That evidence
10 and the rest of the cross-examination of Dr. Longo will show
11 you that Dr. Longo's test is not a credible, scientific
12 experiment on these cigarettes.

13 We are going to have an expert case, as well. We are
14 going to call several experts in who studied asbestos for a
15 very, very long time. It's going to be a joint experts
16 case. I'm not going to call a separate set of experts.
17 Mr. Ohlemeyer will call them, he'll examine them, and I may
18 have a few questions.

19 I'd like you to understand, it's a joint case. They
20 are my experts, as well. Just because I don't call them, I
21 don't want -- don't misunderstand me. They are both of our
22 experts, and those experts are going to address themselves
23 to the question of could any asbestos that came out of that
24 filter cause Dr. Horowitz's disease. It's a fundamental
25 question in the case, and those experts are going to address
26 it directly.

27 They are going to tell you two things. I'm not going
28 to repeat what Mr. Ohlemeyer told you about thresholds, but

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1 they are going to tell you all about it. They are going to
2 tell that you this one idea of one asbestos fiber, maybe
3 sometimes when you got it, could give you mesothelioma is
4 not scientifically correct.

5 They will tell you that all the evidence suggests that
6 whether by fiber burden or by other analysis, looking at
7 what has happened in the past, that there is a threshold
8 below which you will not get mesothelioma. There may be
9 some uncertainty about it as to the various ways you
10 calculate it, but it is there.

11 And then they will tell you that no matter what you do
12 with Dr. Longo's number, even if you believe Dr. Longo's
13 numbers, he is below the threshold. And he's not a little
14 below the threshold, he is way below the threshold. That
15 evidence will prove to you that the Kent cigarette with the
16 asbestos-containing filter did not, in any event, cause
17 Dr. Horowitz's disease.

18 I wanted to touch on one other point, which is this
19 idea that we are going to go back in time and we are going
20 to look to see what was known about asbestos. Let me
21 suggest to you that this is not an area where you can accept
22 generalities. You have to look for specifics.

23 And when a witness takes the stand and he testifies
24 about this area and he says: Oh, I think this was known, or
25 I think that was known, look for the specifics. Because the
26 specifics are, as Mr. Ohlemeyer alluded to, that asbestosis,
27 a lung disease akin to coal miners' black lung disease -- a

28 long-term occupational exposure disease came to be known in
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1 the early decades of this Century, and it was studied
2 because it came in certain places.

3 What happened, by the middle of the Century, people
4 felt they knew what was a safe level of asbestos exposure.
5 Not for people out in the atmosphere walking in the streets,
6 they didn't concern themselves with that, and they didn't
7 concern themselves with consumer products. They concerned
8 themselves with what happened in asbestos textile mills,
9 people handling raw asbestos and breathing uncontrolled
10 emissions. By the end of the Century you will hear people
11 felt, scientists felt, industrial hygienists felt they knew
12 what was a safe level.

13 And they have a number called five million particles
14 per cubic foot of air, and they came up with it, an
15 organization that concerned itself with these things, and
16 you'll hear about this, came up with this characterization
17 in 1946, and they said two things. They said: We think
18 this will protect workers if they are exposed at this level
19 for their whole working lives, people working with asbestos,
20 and we think this number is based upon the best available
21 scientific evidence. But we are going to check it every
22 year. At least, we are going to try to check it every year;
23 some issue as to whether they did.

24 Do you know when they changed the number? They didn't
25 change it in 1956. They didn't change it in 1966. They
26 changed it in 1971 or 1972. Not until then did people
27 finally come to the realization, frankly, that the number
28 they adopted all those decades ago was wrong.

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1 And it took studies like Dr. Wagner's study in 1960.
2 He connects mesothelioma to asbestos. Studies done in New
3 York, published in New York in 1964 that showed shipyard
4 workers were getting asbestosis. The number they came up
5 with in 1946 was grossly wrong, and it took a long time to
6 figure out. And all of this deals with people who were
7 occupationally exposed to asbestos. So I ask you, when you
8 listen to the evidence from what was known back then, don't
9 accept generalities, look for the specifics.

10 Let me close up. I know you've listened to over two
11 hours of closing arguments, so I'll wrap it up and make two
12 points.

13 You've been told the plaintiff goes first, and listen
14 to all the evidence, but it's terrifically important to do
15 that, not least because we go second, and so I'd like you to
16 listen to it before you consider the case as a whole, but
17 I'd also like you to consider the fact that much of our
18 evidence is going to come in during the plaintiffs' case,
19 principally on cross-examination. When we get up to
20 examine, we are making points that are directly important to
21 our case.

22 Finally, let me point out to you that anyone can file
23 a lawsuit if they feel they have been injured. And that's
24 fair enough. That's the way it works.

25 MS. CHABER: I would object, Your Honor. I think we
26 are in closing argument. I think Counsel didn't state that,
27 and maybe he confused himself when he was doing that.

28 THE COURT: You're not supposed to put in argument,

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1 you're supposed to be talking about what you're going to
2 prove.

3 MR. BRAKE: Thank you, Your Honor.

4 The fact of the matter is that we are here now and we
5 are going to test this case in these next two weeks. Listen
6 to the witnesses and to what the witnesses say -- not to
7 pejorative characterizations -- to what the witnesses say.

8 At the end of the case, we will submit two
9 propositions to you: Dr. Horowitz did not smoke Kent
10 cigarettes before 1956, and the Kent cigarettes did not
11 release sufficient asbestos to cause any harm to
12 Dr. Horowitz. And on the basis of that, we will ask you to
13 return a verdict in favor of Hollingsworth and Vose.

14 Thank you very much.

15 THE COURT: Thank you very much, Counsel.

16 Ladies and gentlemen, those are the opening
17 statements, and we will now take the evening recess until
18 tomorrow morning at 9:00 o'clock.

19 Please bear in mind the fact that you are not to
20 discuss the case, either amongst yourselves or with anyone
21 else. If anyone attempts to discuss the case with you,
22 please advise the Court of that fact.

23 Also, you are not to look for anything in connection
24 with this case or talk to anybody about it or ask any
25 questions or read anything or look at any pictures or do any
26 kind of an investigation in any way whatsoever connected
27 with this case.

28 You may leave your notebooks right on your chairs

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1 there, and they will be there tomorrow morning. Please come
2 back tomorrow morning at 9:00 o'clock. See you then.

3 (Whereupon, court was in recess.)

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